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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| IN RE APPLICATION OF: Dr. Shiyu Li | ATTY DKT NO. 44908-00005 USPT |
| SERIAL NO. 09/696,042 | |
| FILED: October 25, 2000 | GROUP ART UNIT: 1661 |
| TITLE: A System for Increasing the Production of Indole and Quinoline Alkaloids, Particularly Camptothecins and Related Compounds, From Plants | EXAMINER: Hoas, Wendy C. |
| TO: Mail Stop DD/NO FEE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | |

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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure.

Disclosed on the attached form PTO-1449 is a listing of the art references and a copy of an International Search Report. The Examiner is respectfully requested to consider each of the cited references and, after such consideration, initial the enclosed Form PTO-1449 in the spaces provided next to each reference. Please return a copy of the initialed Form PTO-1449 with the next communication transmitted to the Applicants. For the convenience of the Examiner in considering the references, a copy of each of the cited references is enclosed with this communication. This statement is not to be construed as a representation that a search either has or has not been made.

Any markings, underlines, notations or the like present in any reference are not to be construed as drawing the Examiner's attention to or away from other parts or portions of the references.

CERTIFICATION

The undersigned hereby certifies under 37 C.F.R. §1.97(e)(1) that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three-months prior to the filing of this statement.

Respectfully submitted,

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By 
Alan R. Thiele, Reg. No. 30,694

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited on the date shown below with the United States Postal Service in an envelope addressed to the "Commissioner of Patents and Trademarks, Washington, D.C. 20231", as follows:

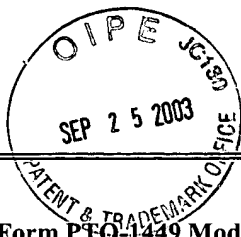
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| <p><u>37 CFR 1.8(a)</u></p> <p><input type="checkbox"/> With sufficient postage as First Class Mail.</p> <p>Date: _____, 20__</p> | <p><u>37 CFR 1.10</u></p> <p><input checked="" type="checkbox"/> As "Express Mail Post Office to Addressee", Mailing Label No. EV-038891205-US.</p> <p>Date: <u>9/25</u>, 2003</p> |
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Venise J. Dark

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| Supplemental Form PTO-1449 Modified List of Patents and Publications Cited by Applicant (Use several sheets if necessary) U.S. Patent Department of Commerce Patent and Trademark Office | Docket No.: 44908-00005USPT | Serial No.: 09/696,042 |
| | Applicants: Dr. Shiyou Li | |
| | Filing Date: October 25, 2000 | Group: 1661 |

U.S. PATENT DOCUMENTS

| Examiner Initial | | Document No. | Date | Name | Class | Subclass |
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|---------------------|----|--------------|------|---------|-------------|----|
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| | B- | WO | | PCT | X | |
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OTHER DOCUMENTS

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| | C-151 | "An International Journal: New Forests, biology, biotechnology, and management of afforestation and reforestation" (Kluwer Academic Publishers, Vol. 16 No. 2, September 1998)) |
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| Date Examined: | Examiner: |
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